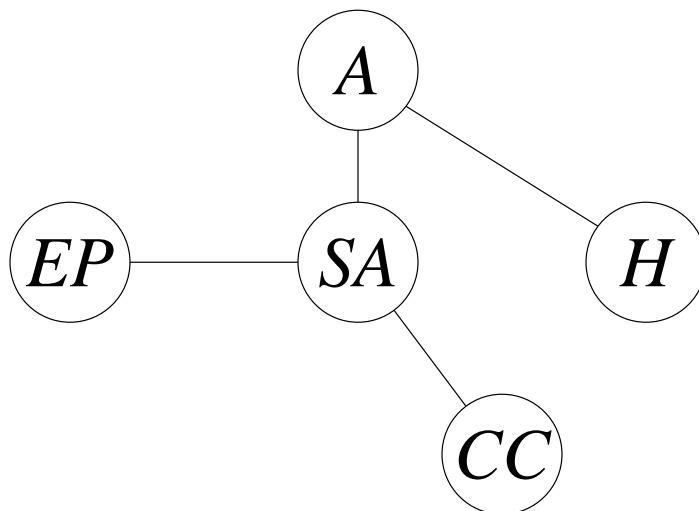
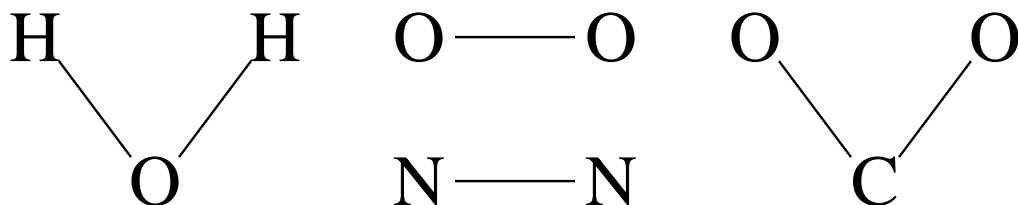


# Trees

A *tree* is a connected undirected graph with no simple circuits.

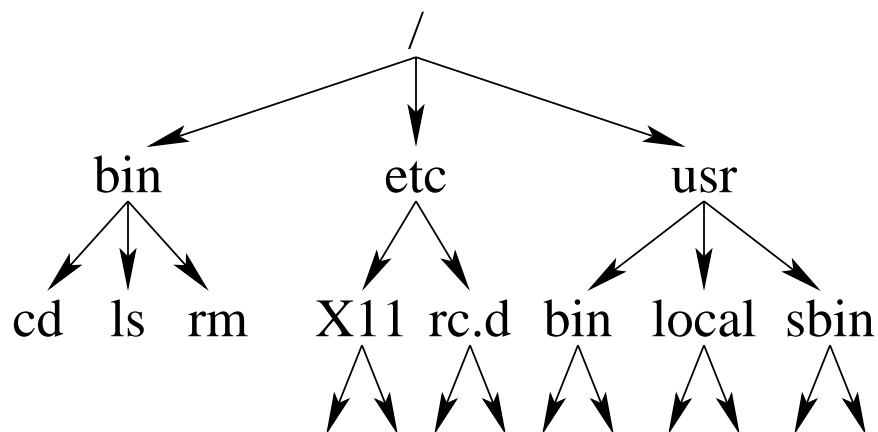


A *forest* is a undirected graph with no simple circuits. A forest is a set of trees.




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In a *rooted tree*, one vertex is the *root*, and all edges are directed away from the root.



## Rooted Tree Terminology

If there is an edge  $(u, v)$  in a rooted tree,  $u$  is the *parent* of  $v$ , and  $v$  is a *child* of  $u$ .

$u$  and  $v$  are *siblings* if they have same parent.

If there is a path from  $u$  to  $v$  in a rooted tree,  $u$  is an *ancestor* of  $v$ ,  $v$  is a *descendent* of  $u$ , and  $v$  is in  $u$ 's *subtree*.

The *height* of a tree is the length of the longest path from the root to a vertex.

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If  $u$  has children, then  $u$  is *internal*.

If  $u$  has no children, then  $u$  is a *leaf*.

A rooted tree is an  *$m$ -ary* tree if every internal vertex has  $\leq m$  children. It is a *full  $m$ -ary* tree if every internal vertex has exactly  $m$  children.

A *binary* tree is an  *$m$ -ary* tree with  $m = 2$ .

In an *ordered rooted tree*, siblings are ordered. In an ordered binary tree, an internal vertex has a *left* child and/or a *right* child.

## Properties of Trees

A tree with  $n$  vertices has  $n - 1$  edges.

Proof: Every vertex except the root has an edge from its parent.

A full  $m$ -ary tree with  $i$  internal vertices has  $n = mi + 1$  vertices.

Proof: Each internal vertex has  $m$  edges to its children.  $mi$  edges imply  $mi + 1$  vertices.

A binary tree of height  $h$  has up to  $2^h$  leaves.  
Proof later.

---

A binary tree of height  $h$  has  $\leq 2^{h+1} - 1$  vertices.

Proof: Solve for  $n = i + l$ ,  $n = 2i + 1$ , and  $l = 2^h$ .

The minimum height of a binary tree with  $l$  leaves is  $\lceil \log l \rceil$ .

The minimum height of a binary tree with  $n$  vertices is  $\lfloor \log n \rfloor$ .

## Number of Leaves of a Binary Tree

Predicate  $P(h)$ :

A binary tree of height  $h$  has  $\leq 2^h$  leaves.

Basis  $P(0)$ :

A binary tree of height 0 has  $2^0 = 1$  leaf.

Induction: Prove  $P(k) \rightarrow P(k + 1)$

Assume  $P(k)$ .

A binary tree of height  $k$  has  $\leq 2^k$  leaves.

Show  $P(k + 1)$ :

A binary tree of height  $k + 1$  has  $\leq 2^{k+1}$  leaves.

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Proof:

The root of a binary tree of height  $k + 1$  can have 2 subtrees of height  $k$  (or less).

By the inductive assumption, a binary tree of height  $k$  has  $\leq 2^k$  leaves.

Therefore, 2 subtrees of height  $k$  have  $\leq 2(2^k) = 2^{k+1}$  leaves.

## Tree Traversal

```
procedure preorder( $T$ : ordered rooted tree)
  process root of  $T$ 
  for each subtree  $S$  of  $T$  from left to right
    preorder( $S$ )
end procedure
```

```
procedure postorder( $T$ : ordered rooted tree)
  for each subtree  $S$  of  $T$  from left to right
    postorder( $S$ )
  process root of  $T$ 
end procedure
```

---

```
procedure inorder( $T$ : ordered rooted tree)
  if  $T$  has subtrees
    then inorder(first subtree of  $T$ )
  process root of  $T$ 
  if  $T$  has subtrees
    then for remaining subtrees  $S$  of  $T$ 
      inorder( $S$ )
end procedure
```