Chapter 9: Virtual Memory

Allow the OS to hand out more memory than existing physical memory



Thanks to the author of the textbook [**SGG**] for providing the base slides. I made several changes/additions. These slides may incorporate materials kindly provided by Prof. Dakai Zhu. So I would like to thank him, too. **Turgay Korkmaz**

Chapter 9: Virtual Memory

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Objectives

- To describe the benefits of a virtual memory system
- To explain
 - the concepts of demand paging,
 - page-replacement algorithms, and
 - allocation of page frames
- To discuss the principle of the working-set model
- To consider other issues affecting the performance

Background

- **(CH 8)** A process must be in physical memory
 - How to run a large program that does not fit into physical memory?
 - Observation: Not all code or data needed at the same time
 - Error handling codes
 - Big arrays with max size
 - Some options might not be needed at least at the same time

Virtual memory

- Allows execution of processes that are not completely in the main memory
 - What are the benefits of executing a program which is partially in memory?
- Virtual memory can be implemented via:
 - Demand paging
 - Demand segmentation

Benefits of Virtual Memory

- User will have a very large logical address space
- User can execute programs larger than physical memory
- Especially helpful in multiprogrammed systems
 - Multiple processes can be executed concurrently because
 - Each process occupies small portion of memory
 - The only part of the program needs to be in physical memory is the one that is needed for execution at a given time
- Less I/O to load or swap user programs
- Physical Memory de/allocation
 - Allows address spaces to be shared by several processes
 - Allows for more efficient process creation
 - Keep recently used content in physical memory
 - Move less recently used stuff to disk
 - Movement to/from disk handled by the OS

Virtual Memory

Separation of user logical memory from physical memory Addresses local to the process Can be any size \rightarrow limited by # of bits in address (32/64) Virtual memory >> physical memory Holes are part of virtual address space but require actual physical pages (frames) only when needed for growing heap stack or shared libs etc. stack stack





Operating System Concepts

Virtual Memory That is Larger Than Physical Memory



How to get physical address from the virtual one?!

Recall: Paging and Page Systems

- Virtual (logical) address
 - Divided into pages
- Physical memory
 - Divided into frames

Page vs. Frame

- Same size address blocks
- Unit of mapping/allocation
- A page is mapped to a frame
 - All addresses in the same virtual page are in the same physical frame → offset in a page



Virtual and Physical Addresses

same as in ch 8

- Virtual address space
 - Determined by instruction width
 - Same for all processes
- Physical memory indexed by physical addresses
 - Limited by bus size (# of bits)
 - Amount of available memory
 - Memory Management Unit (MMU)
 - Translation: virtual \rightarrow physical addr.
 - Only physical addresses leave the CPU/MMU chip

How does MMU do the translation & what is needed?

Physical addresses

on bus, in memory

CPU

Virtual addresses

from CPU to MMU

CPU chip

MMU

Memory

Disk

controller

Translate Virtual to Physical Address same as in ch 8

- Split virtual address (from CPU) into *two* pieces
 - Page number (*p*)
 - Page offset (*d*)

Page number

*

- Index into page table
- Page table contains base address of page in physical memory

Page offset

- Added to base address to get actual physical memory address
- **Page size** = 2^d bytes: determined by offset size

An Example of Virtual/Physical Addresses

Example:

- 64 KB virtual memory (16-bit)
- 32 KB physical memory (15-bit)
- 4 KB page/frame size (12-bit) as offset (d)



How many

How many

frames?

pages?

How /when to load a page into memory load everything at once (ch8) load as needed (ch9)

DEMAND PAGING

Demand Paging

- Bring a page into memory only when it is needed
 - Less I/O needed
 - Less memory needed
 - Faster response
 - More users
- Page is needed ⇒ reference to it
 - Valid in memory \Rightarrow use it
 - invalid reference ⇒ abort
 - not-in-memory ⇒ bring to memory

Demand Paging vs. Swapper

Page only vs. contiguous space

Lazy swapper – bring only the pages that are needed



Operating System Concepts

Valid-Invalid Bit

- With each page table entry a valid—invalid bit is associated
 v ⇒ in-memory,
 - $i \Rightarrow$ not-in-memory)
- Initially valid—invalid bit is set to i on all entries
- During address translation,
 - if valid-invalid bit in page table entry

is $i \Rightarrow$ page fault (trap)



physical memory

Page Fault

- 1. Reference to a page, If Invalid reference \Rightarrow abort
- 2. If not in memory, page fault occurs (trap to OS)
- Operating system 3. allocates an empty frame
- 4. Swap page into frame
- Reset page tables, 5. set validation bit = \mathbf{v}
- 6. Restart the instruction that caused the page fault



Page Fault (Cont.)

- Restart instruction
 - During inst fetch, get the page and re-fetch
 - During operand fetch, get the page and refetch instruction
 - (how many pages need depends on architecture, e.g., add a b c)
 - But how about block move
 - Make sure both ends of the buffers are in the memory
 - Use temp buffer. If page fault occurs restore before re-starting



Performance of Demand Paging

- **Page Fault Rate** $0 \le p \le 1.0$
 - if p = 0 no page faults
 - if *p* = 1, every reference is a fault
- Effective Access Time (EAT)

 $EAT = (1 - p) \times memory_access + p \times page_fault_time$

- page_fault_time depends on several factors
 - Save user reg and proc state,
 - check page ref,
 - read from the disk there might be a queue, (CPU can be given to another proc),
 - get interrupt,
 - save other user reg and proc state,
 - correct the page table,
 - put this process into ready queue.....
 - Due to queues, the page_fault_time is a random variable

Demand Paging Example

- Memory access time = 200 nanoseconds
- Average page-fault service time = 8 milliseconds
- EAT = (1 p) x 200 + p (8 milliseconds)

$$= (1 - p) \times 200 + p \times 8,000,000$$

= 200 + p x 7,999,800

If one access out of 1,000 causes a page fault, then

EAT = 8.2 microseconds.

This is a slowdown by a factor of 40!

If we want just 10% performance degradation, then p should be

 $220 > (1 - p) \times 200 + p$ (8 milliseconds)

p < 0.0000025 , i.e., 1 page fault out of 400,000 accesses

Disk I/O for Demand Paging

Disk I/O to swap is generally faster than to the file system

Larger blocks, no indirect lookups etc.



Virtual memory has other benefits during process creation:

- Copy-on-Write

- Memory-Mapped Files (later)

PROCESS CREATION

Copy-on-Write

- Copy-on-Write (COW) allows both parent and child processes to initially share the same pages in memory
- If either process modifies a shared page, only then is the page copied
- COW allows more efficient process creation as only modified pages are copied
- vfork() virtual memory fork is not like COW
 - Suspend parent, use its address space... be careful
 - Use it when child calls exec





What happens if there is no free frame?

Terminate user program or

Swap out some page

PAGE REPLACEMENT

Page Replacement

- To prevent over-allocation of memory, modify page-fault service routine to include page replacement, which finds some page in memory and swaps it out
- Same page may be brought into memory several times
- We need algorithms to minimize the number of page faults
- Include other improvement, e.g., use modify (dirty) bit to reduce overhead of page transfers – only modified pages are written to disk
- Page replacement completes separation between logical memory and physical memory – large virtual memory can be provided on a smaller physical memory

Need For Page Replacement



Basic Page Replacement

- Find the location of the desired page on disk
- If there is a free frame, use it
- If there is no free frame, use a page replacement algorithm
 - 1. Select a victim frame, swap it Out (use dirty bit to swap out only modified frames)
 - Bring the desired page into the (newly) free frame;
 - update the page and frame tables
- Restart the process



Page Replacement Algorithms

- How to select the victim frame?
 - You can select any frame, the page replacement will work;
 - but the performance???
- So we want an algorithms that gives the lowest page-fault rate
- Evaluate an algorithm by running it on a particular string of memory references (reference string) and compute the number of page faults on that string

In all our examples, we will have 3 frames and the following reference string

7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1

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First-In-First-Out (FIFO) Algorithm

- Maintain an FIFO buffer
 - + The code used before may not be needed
 - - An array used early, might be used again and again
- Easy to implement
- Belady's Anomaly: more frames ⇒ more page faults

reference string

7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1

 2
 2
 2
 4
 4
 0

 0
 3
 3
 3
 2
 2
 2

 1
 1
 0
 0
 0
 3
 3





page frames

FIFO Illustrating Belady's Anomaly



Optimal Algorithm

Replace page that will not be used for longest period of time reference string



page frames

- How do you know the future?
- Used for measuring how well your algorithm performs

Least Recently Used (LRU) Algorithm

- Use recent past as an approximation of the future
- Select the page that is not used for a long time...
 - OPT if you look at from backward
 - NO Belady's Anomaly: so more frames \Rightarrow less page faults
- Hard to implement (why?)

reference string



Operating System Concepts

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LRU Algorithm (Cont.)

- Counter (logical clock) implementation
 - Increase the counter every time a page is referenced
 - Save it into time-of-use field associated with this page's entry in the page table

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- When a page needs to be replaced, find the one that has the smallest time-of-use value
- Problems: Counter overflow and linear search
- Stack implementation keep a stack of page numbers in a double link form: reference string 2
 - Page referenced:
 - move it to the top
 - requires 6 pointers to be changed
 - No search for replacement
 - Least recently used one is at the bottom



2

1

0

0

7

2

1

LRU Approximation Algorithms

Reference bit

- With each page associate a reference bit, initially = 0
- When page is referenced, set this bit to 1 by hardware
- Replace the one which is 0 (if one exists)
 - We do not know the order, however
 - Additional bits can help to gain more ordering information
 - In the extreme case, use just reference bit, no additional bit

Second chance Alg reference pages reference pages bits bits FIFO with an inspection of ref bit 0 0 • If ref bit is 0, 0 0 replace that page next victim 0 1 set its ref bit to 1 1 0 If ref bit is 1, /* give a second chance */ 0 0 set ref bit to 0 1 1 leave page in memory 1 1 go to next one Enhance it modify bit, avoid replacing modified pages circular queue of pages circular queue of pages (b) (a)

What if all bits are 1 All pages will get second chance.... Degenerates FIFO

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Counting Algorithms: LFU and MFU

- Keep a counter of the number of references that have been made to each page
- LFU Algorithm: replaces page with smallest count
 - + Active pages are likely to be used again
 - Code within a big loop may not be used again..
 - Shift counters to form an exponential decaying
- MFU Algorithm: based on the argument that the page with the smallest count was probably just brought in and has yet to be used
- Expensive, don't perform well in general, but might be useful for some applications
 - (database application may read a lot of data first then search, but LRU will remove the old ones
 - LFU/MFU might work depending on the application)

Other improvements

- Page Buffering
 - Have free frame pools
 - First get the page from disk to free frame, then
 - As before select victim and write it out
 - Whenever paging device is idle write them out
 - Mark a frame as free but remember for which page it was used (like recycle bin) so if needed that frame can be used again without going to disk
- Applications and Page Replacements
 - For some applications general purpose solutions may not work well
 - For example database application may make a better use of resources as it understands the nature of data better....

Summary: Page Replacement Algorithms

Algorithm	Comment
FIFO (First-In, First Out)	Might throw out useful pages
Second chance	Big improvement over FIFO
LRU (Least Recently Used)	Excellent, but hard to implement exactly
OPT (Optimal)	Not implementable, but useful as a benchmark

How paging may impact the performance of a Program

Program structure

- int[128,128] data;
- Each row is stored in one page

Program 1	Program 2
<pre>for (j = 0; j <128; j++) for (i = 0; i < 128; i++) data[i,j] = 0;</pre>	<pre>for (i = 0; i < 128; i++) for (j = 0; j < 128; j++)</pre>
128 x 128 = 16,384 page faults	128 page faults

- Increase locality, separate code and data, avoid page boundaries for routines arrays,
 - Stack has good locality but hash has bad locality
 - Pointers, Objects may diminish locality

Treat file I/O as routine memory access

MEMORY-MAPPED FILES

Memory-Mapped Files

- Map a disk block to a page in memory, then file I/O can be treated as routine memory access and avoid avoiding system calls like read() write()
 - Data written into memory is not immediate written to disk!
- A file is initially read using demand paging. A page-sized portion of the file is read from the file system into a physical page. Subsequent reads/writes to/from the file are treated as ordinary memory accesses.
- Also allows several processes to map the same file allowing the pages in memory to be shared.



Memory-Mapped Files in Java

```
import java.io.*;
import java.nio.*;
import java.nio.channels.*;
public class MemoryMapReadOnly
  // Assume the page size is 4 KB
  public static final int PAGE_SIZE = 4096;
  public static void main(String args[]) throws IOException {
     RandomAccessFile inFile = new RandomAccessFile(args[0], "r");
     FileChannel in = inFile.getChannel();
     MappedByteBuffer mappedBuffer =
      in.map(FileChannel.MapMode.READ_ONLY, 0, in.size());
     long numPages = in.size() / (long)PAGE_SIZE;
     if (in.size() % PAGE_SIZE > 0)
       ++numPages;
     // we will "touch" the first byte of every page
     int position = 0;
     for (long i = 0; i < numPages; i++) {</pre>
       byte item = mappedBuffer.get(position);
       position += PAGE_SIZE;
     }
     in.close();
     inFile.close();
```

User-Level Memory Mapping in C

- Map len bytes starting at offset offset of the file specified by file description fd, preferably at address start
 - start: may be 0 for "pick an address"
 - prot: PROT_READ, PROT_WRITE, ...
 - **flags**: MAP_ANON, MAP_PRIVATE, MAP_SHARED, ...
- Return a pointer to start of mapped area (may not be start)
 - Anonymous: No backup on files
 - File-backed mapping: Backed up by a file.

User-Level Memory Mapping



Memory-Mapped I/O

- I/O is mapped to memory actually some ranges of addresses are allocated for different devices
- CPU can communicate these devices through memory accesses
- Programmed I/O vs. Interrupt driven I/O
 - One at a time vs. all at once then followed by interrupt

Two major allocation schemes fixed allocation priority allocation

ALLOCATION OF FRAMES

Minimum Number of Frames

Each process needs *minimum* number of pages Examples

- add a b c might require 3 pages
- IBM 370 6 pages to handle SS MOVE instruction:
 - instruction is 6 bytes, might span 2 pages
 - 2 pages to handle from
 - 2 pages to handle to
- Level of indirection...
- Min depends on architecture
- Maximum depends on available memory
- How about the optimal to maximize CPU utilization?

Allocation Algorithms

Fixed allocation

- Equal allocation: Allocate same amount to each process
 - For example, if there are 100 frames and 5 processes, each gets 20 frames.
- Proportional allocation Allocate according to the size of process
 m = 64
- $s_{i} = \text{size of process } p_{i}$ $S = \sum s_{i}$ m = total number of frames $a_{i} = \text{allocation for } p_{i} = \frac{s_{i}}{S} \times m$ $s_{i} = 10$ $s_{2} = 127$ $a_{1} = \frac{10}{137} \times 64 \approx 5$ $a_{2} = \frac{127}{137} \times 64 \approx 59$

Priority Allocation

- Use a proportional allocation scheme using priorities rather than size
- If process P_i generates a page fault,
 - select for replacement one of its frames
 - select for replacement a frame from a process with lower priority number

Global vs. Local Allocation

- Global replacement process selects a replacement frame from the set of all frames; one process can take a frame from another
 - High priority processes can take all frames from low priority ones (cause thrashing)
 - A process cannot control its page fault rate
- Local replacement each process selects from only its own set of allocated frames
 - How determine the size of the set ???

Cover the rest as much as the time permits...

A process is busy swapping pages in and out

THRASHING

Thrashing

- If a process does not have "enough" pages, the page-fault rate is very high. This leads to:
 - low CPU utilization
 - operating system thinks that it needs to increase the degree of multiprogramming
 - another process added to the system
 - But then trashing happens



Locality and Thrashing

- To prevent thrashing we should give **enough** frames to each process
- But how much is "enough"

Locality model

- Process migrates from one locality to another (that is actually why demand paging or cashing works)
- Localities may overlap
- When Σ size of locality > total memory size, thrashing occurs...

Increase locality in your programs!



Operating System Concepts

Working-Set Model



- $\Delta \equiv$ working-set window \equiv a fixed number of page references Example: 10,000 instruction
- WSS_i (working set of Process P_i) = total number of pages referenced in the most recent Δ (varies in time)
 - if Δ too small will not encompass entire locality
 - if Δ too large will encompass several localities
 - if $\Delta = \infty \Rightarrow$ will encompass entire program
- $D = \Sigma WSS_i \equiv \text{total demand frames}$
- if $D > (available frames) m \Rightarrow Thrashing$
- Policy if D > m, then

suspend one of the processes (reduce degree of multiprogramming)



Keeping Track of the Working Set



- Approximate with interval timer + a reference bit
- Example: *∆* = 10,000
 - Timer interrupts after every 5000 time units
 - Keep in memory 2 bits for each page
 - Whenever a timer interrupts copy and set the values of all reference bits to 0
 - If one of the bits in memory = $1 \Rightarrow$ page in working set
- Why is this not completely accurate?
- Improvement = 10 bits and interrupt every 1000 time units

Page-Fault Frequency (PFF) Scheme

- Working set is a clumsy way to control thrashing
- PFF takes more direct approach
 - High PFF → more thrashing
 - Establish "acceptable" pagefault rate
 - If actual rate is too low, process loses frame
 - If actual rate is too high, process gains frame
 - Suspend a process if PFF is above upper bound and there is no free frames!



Typically, the user will get one big block of memory and setup its page table. Allocate 1 page even when 1 byte is needed...

Then this memory will be managed by user space memory manager.

How to manage the memory inside user space?

USER MEMORY ALLOCATION

Memory allocation (using mmap/brk)

#include <stdio.h> #include <stdlib.h> int main() { int * ptr = malloc(4); *ptr = 1;free(ptr);

08048000-08049000 r-xp 08049000-0804a000 r-p test 0804a000-0804b000 rw-p b7e7b000-b7e7c000 rw-p $\left(\right)$ b7e7c000-b7fd8000 r-xp b7fd8000-b7fd9000 ---p b7fd9000-b7fdb000 r--p b7fdb000-b7fdc000 rw-p b7fdc000-b7fe1000 rw-p b7fe1000-b7fe2000 r-xp b7fe2000-b7ffe000 r-xp b7ffe000-b7fff000 r-p b7fff000-b8000000 rw-p bffeb000-c000000 rw-p

test libc-2.9.so libc-2.9.so libc-2.9.so libc-2.9.so 0 [vdso] 0 ld-2.9.so ld-2.9.so ld-2.9.so [stack]

test

Currently, no heap space at all because we didn't use any heap

Memory allocation



Now, the heap is allocated from the kernel, which means the virtual address from 0x0804b000 to 0x0806c000 (total 33K) are usable. ptr is actually 0x804b008.

Memory Mapping (mmap or brk)



0804b000-0806c000 rw-p [heap]

Memory Mapping (mmap or brk)



0804b000-0806c000 rw-p [heap]

Treated differently from user memory (allocate 1 page even when 1 byte is needed) Often allocated from a different free-memory pool Kernel requests memory for structures of varying sizes Some kernel memory needs to be contiguous

ALLOCATING KERNEL MEMORY

Buddy System

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- Allocates memory from fixed-size segment consisting of physically-contiguous pages
- Memory allocated using power-of-2 allocator
 - Satisfies requests in units sized as power of 2
 - Request rounded up to next highest power of 2
 - When smaller allocation needed than is available, current chunk split into two buddies of next-lower power of 2
 - Continue until appropriate sized chunk available
 - When freed, combine buddies (called coalescing)



Slab Allocator

- Slab is one or more physically contiguous pages
- Cache consists of one or more slabs
- Single cache for each unique kernel data structure (process descriptions, file objects, semaphores)
 - Each cache filled with objects instantiations of the data structure
- When cache created, filled with objects marked as free
- When structures stored, objects marked as used
- If slab is full, next object is allocated from empty slab
- If no empty slabs, new slab allocated
 Operating System Concepts



Benefits include

- no fragmentation,
- memory request is satisfied quickly

Main concerns were Replacement and Allocation But we have several other issues too

OTHER ISSUES

Other Issues -- Prepaging

Prepaging

- To reduce the large number of page faults that occurs at process startup
- Prepage all or some of the pages a process will need, before they are referenced
- But if prepaged pages are unused, I/O and memory was wasted
- Assume s pages are prepaged and α of the pages is used
 - Is cost of s * α save pages faults > or < than the cost of prepaging s * (1- α) unnecessary pages?
 - α near zero \Rightarrow prepaging loses

Other Issues – Page Size

Page size selection must take into consideration:

- Fragmentation
- Table size
- I/O overhead
 - Seek
 - Latency
 - Transfer
- Locality

(small size page is better)

(large size page is better)

New Oses tends to use larger an larger sizes....

Other Issues – TLB Reach

Increasing hit rate is good but associative memory is expensive and power hungry

- TLB Reach The amount of memory accessible from the TLB
 - TLB Reach = (TLB Size) X (Page Size)
 - Ideally, the working set of each process is stored in the TLB
 - Otherwise there is a high degree of page faults
- Increase the Page Size
 - Increases TLB reach but this may lead to an increase in fragmentation as not all applications require a large page size
- Provide Multiple Page Sizes
 - This allows applications that require larger page sizes the opportunity to use them without an increase in fragmentation

Other Issues – Program Structure

Program structure

- int[128,128] data;
- Each row is stored in one page

Program 1	Program 2
<pre>for (j = 0; j <128; j++) for (i = 0; i < 128; i++) data[i,j] = 0;</pre>	<pre>for (i = 0; i < 128; i++) for (j = 0; j < 128; j++)</pre>
128 x 128 = 16,384 page faults	128 page faults

- Increase locality, separate code and data, avoid page boundaries for routines arrays,
 - Stack has good locality but hash has bad locality
 - Pointers, Objects may diminish locality

Other Issues – I/O interlock

- Users I/O might be done through kernel (mem-to-mem copy overhead)
- I/O Interlock Pages must sometimes be locked into memory
- Consider I/O Pages that are used for copying a file from a device must be locked from being selected for eviction by a page replacement algorithm
- Lock bit might be dangerous
 - What if it locked due to a bug in OS
 - Some uses it as a hint but ignore it
 - Some periodically clears it



Windows XP

Solaris

OPERATING SYSTEM EXAMPLES

Windows XP

- Uses demand paging with clustering. Clustering brings in pages surrounding the faulting page.
- Processes are assigned working set minimum and working set maximum.
- Working set minimum is the minimum number of pages the process is guaranteed to have in memory.
- A process may be assigned as many pages up to its working set maximum.
- When the amount of free memory in the system falls below a threshold, automatic working set trimming is performed to restore the amount of free memory.
- Working set trimming removes pages from processes that have pages in excess of their working set minimum.

Solaris

- Maintains a list of free pages to assign faulting processes
- Lotsfree threshold parameter (amount of free memory) to begin paging
- Desfree threshold parameter to increasing paging
- Minfree threshold parameter to being swapping
- Paging is performed by *pageout* process
- Pageout scans pages using modified clock algorithm
- Scanrate is the rate at which pages are scanned. This ranges from slowscan to fastscan
- Pageout is called more frequently depending upon the amount of free memory available

Solaris 2 Page Scanner



End of Chapter 9

